UNITED STATES DISTRICT COURT WESTERN DISTRICT OF LOUISIANA MONROE DIVISION

RUBEN MIDYETT CASE NO. 3:22-CV-03985

VERSUS JUDGE JAMES D. CAIN, JR.

STATE FARM FIRE & CASUALTY CO MAGISTRATE JUDGE KAY

ET AL

JUDGMENT

Before the court is a Report and Recommendation of the Magistrate Judge, recommending a sanction of \$100 against respondent Grant Gardiner for his violations of Federal Rule of Civil Procedure 11(b) based on his representation of plaintiff. These violations occurred while respondent was employed as an attorney with the firm McClenny, Moseley & Associates, PLLC ("MMA"). Based on a de novo review of the record, the court agrees that the sanction should be imposed. Rule 11(c) provides, however, that "[a]bsent exceptional circumstances, a law firm must be held jointly responsible for a violation committed by its partner, associate, or employee." Fed. R. Civ. P. 11(c)(1). The court must therefore determine whether the firm should be held jointly liable with Mr. Gardiner.

After respondent advised the court that he was no longer associated with the MMA firm, the court issued an order transferring to MMA and its principal Zach Moseley the responsibility to produce certain file materials relevant to the show cause hearing held before the Magistrate Judge on June 14, 2023. MMA therefore had actual notice of the

hearing, an opportunity to respond, and in fact provided responsive documents ahead of

the hearing. There is no basis in the record to suggest exceptional circumstances that would

relieve the firm of joint responsibility for respondent's actions. To the contrary, the file

materials submitted by MMA indicate a systemic lack of attention to verifying that "the

factual contentions have evidentiary support or, [...] will likely have evidentiary support,"

as required by Rule 11(b)(3). Considering these factors, the court finds that MMA must be

held jointly liable for the violation committed by its former employee. Accordingly,

IT IS ORDERED, ADJUDGED, and DECREED that the Report and

Recommendation be ADOPTED, subject to the modification described above. Grant

Gardiner and McClenny Moseley & Associates, PLLC are thus jointly sanctioned in the

amount of \$100.00, to be deposited with the registry of the court. Payment must be

completed by December 1, 2023.

The clerk is directed to mail a copy of this judgment to plaintiff at the address

provided by MMA and on file with the court.

THUS DONE AND SIGNED in Chambers on this 11th day of July, 2023.

JAMES D. CAIN, JR.

UNITED STATES DISTRICT JUDGE